

MAR. 1. 2007 12:21PM

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NO. 848 P. 1/3

**Pepper Hamilton LLP**  
Attorneys at Law

50th Floor  
500 Grant Street  
Pittsburgh, PA 15219-2502  
412.454.5000  
Fax 412.281.0717  
www.pepperlaw.com

**FAX INFORMATION SHEET**

Date: March 1, 2007  
61029  
Identifier: 124256.00401

<u>Recipient's Name</u>	<u>Company</u>	<u>General Number</u>	<u>Fax Number</u>
USPTO			571-273-8300

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Sender's Direct Line: 412.454.5023  
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Comments: Attached is a status check for Application No. 10/686,462.

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NO. 848 P. 2/3

PATENT APPLICATION  
Application No.: 10/686,462  
Paper Dated: March 1, 2007  
Attorney Docket No.: 124256.00401

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Steven Kirk Donoho, et al. : Confirmation No.: 7085  
Application No.: 10/686,462 : Group Art Unit: 3621  
Filed: October 15, 2003 : Examiner: Not Yet Assigned  
For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR  
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

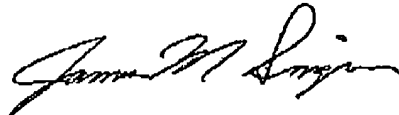
STATUS CHECK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A patent application for the above-identified invention was filed October 15, 2003. The United States Patent and Trademark Office subsequently issued a Corrected Filing Receipt on September 29, 2004. Applicants respectfully request the status of this application at your earliest convenience.

Respectfully submitted,  
PEPPER HAMILTON LLP



James M. Singer  
Registration No. 45,111

One Mellon Center, 50<sup>th</sup> Floor  
500 Grant Street  
Pittsburgh, PA 15219  
Phone: (412) 454-5000  
Fax: (412) 281-0717  
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NO. 848 P. 3/3

MAR 01 2007

PATENT APPLICATION  
Application No.: 10/686,642  
Paper Dated: March 1, 2007  
Attorney Docket No.: 124256.00401

**CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. § 1.6 & § 1.8**

In re Application of : Steven Kirk Donoho, et al.

Application No. : 10/686,462

Filed : October 15, 2003

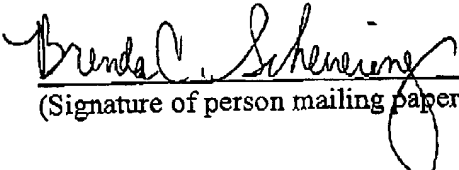
Group Art Unit No. : 3621

Examiner : Not Yet Assigned

For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR  
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

I hereby certify that this "STATUS CHECK" is being sent via facsimile to fax number  
571-273-8300 under 37 C.F.R. §1.6 & §1.8 on March 1, 2007.

Brenda C. Scheuering  
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